



Capital Budget System

Chris Ziegler [Winnebago, City of]

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Preview and Submit Request

Entity: **Winnebago, City of**
 Project Name: **Winnebago Northwest Area Utility Improvements**

▼ Project Overview

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| | |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Project Rank: | 1 |
| Facility/Campus: | |
| City: | Winnebago |
| County: | Faribault County |
| Zip Code: | 56098 |
| Contact Name: | Chris Ziegler |
| Contact Title: | City Administrator - Clerk - Treasurer |
| Contact Phone: | 507-893-4774 |
| Contact Email: | chiegler@cityofwinnebago.com |
| Project Category: | State Program |
| State Program or Project-Specific Request: | State Program |
| Short Description: | Requesting \$3,740,000 grant for the Winnebago Northwest Area Utility Improvement. Total reconstruction of Water distribution, sanitary collection and storm water collection in a 25 block area originally constructed in the 1940's and 1950's. The project will alleviate health and safety concerns related to residential flooding, asbestos and lead containing drinking water pipes, potential contamination of storm water runoff and overloading of municipal wastewater plant. |
| Project Rationale: | <p>These Projects benefit local, regional, state and national interests as listed below:</p> <p>Project 1 –Provides reduced surface flooding and reduces pollutants in the Blue Earth River</p> <ul style="list-style-type: none"> • Surface water can be collected and treated or removed efficiently • Improves water quality in surface waters and provides aquifer recharge <p>Project 2 – Reduce treatment and pollution in the collection system</p> <ul style="list-style-type: none"> • Eliminate cross connections with storm water • Eliminate or reduce leaking pipes or connections <p>Project 3 – Promote safety and health improvements in safe drinking water distribution</p> <ul style="list-style-type: none"> • Provides the opportunity to eliminate asbestos and lead containing pipes • Provides enhanced and reliable fire protection |
| Project Narrative: | <p>Full project is \$6,606,880 Street and Utility reconstruction encompassing 25 City blocks. Funding request entails only utilities, planning and engineering costs. Regulatory compliance with CWA and State rules affecting water quality and sustainability</p> <p>Project 1 – Reduce and mitigate surface flooding & institute best practices to storm water collection and treatment system</p> <ul style="list-style-type: none"> • Environmentally sound alternatives for treatment and infiltration of storm water • Construction of a bio-retention basin to hold and treat storm water • Rehabilitate and improve existing collection system <p>Project 2 – Reduce volume of clean water in sanitary collection system</p> <ul style="list-style-type: none"> • Identify and eliminate sources of inflow and infiltration • Replace aging vitrified clay pipe mains and inspect services for illicit connections <p>Project 3 – Update water distribution system to eliminate hazardous materials and upgrade to modern standards for health and safety.</p> <ul style="list-style-type: none"> • Replace asbestos cement and cast iron water mains and lead service pipes. • Update hydrants and gate valves for operational and fire protection safety |
| Other Considerations: | <p>The fundamental reason for these requests is to make compliance and sustainability affordable:</p> <ul style="list-style-type: none"> • Financial analysis of this project estimates an increase of \$28.75 per month per household • Additionally, the City tax levy would be impacted in the order of \$200 increase per year per household • Previous plans and projects to make improvements were scuttled due to high costs and low income levels of residents. |
| Who will own the facility?: | City of Winnebago |
| Who will operate the facility?: | City of Winnebago |
| Description of Private Entity Occupancy/Use: | None |
| Public Purpose: | Protect Public Health and Safety. Natural Resource preservation. |
| Impact on Operating Budgets: | High. In its current state, the project will cause large increases in the City's operating levy and utility rates making them unaffordable for current residents. It is likely many residents will abandon or forfeit their homes and properties, leaving more of the assessments and enterprise funds unpaid or underfunded. |
| Anticipated Encumbrance Date: | 8/1/2016 |
| Anticipated Mid-Point of Construction: | 6/1/2017 |
| Anticipated End Date: | 10/1/2017 |
| Project Phase: | Phase 1 |
| Description of Previous Appropriations: | None |

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| Statutory Program Citation: | |
| Bondable Activity: | State Program |
| Project Type: | Utilities |
| Policy Area: | Agriculture, Environment, & Natural Resources |
| Facility Condition Index: | Crisis |
| Preferred Granting State Agency: | Public Facilities Authority |
| Has the governing body of the applicant passed a resolution of support, which indicates this project's priority number if the applicant is submitting multiple requests? | Yes |
| Comments on Resolution: | |

Resolutions:

| Political Subdivision Sponsor | Resolution Number | Date Resolution Passed | Electronic Signature |
|-------------------------------|-------------------|------------------------|----------------------|
| City of Winnebago | 585-2015 | 6/9/2015 | Chris Ziegler |

Resolution Files:

| File Name | Type | |
|----------------------------------------|------|----------------------|
| 585- SUPPORTING CAPITAL BUDGET REQUEST | PDF | View |

▼ Funding Source

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Request Amount and Prior Yr State Appropriations

| Request Amount (Dollars in Thousands) | Prior Year 2010 | Prior Year 2011 | Prior Year 2012 | Prior Year 2013 | Prior Year 2014 | Prior Year 2015 | Prior Total | Request Year 2016 | Request Year 2018 | Request Year 2020 | Request Total | Project Total |
|--------------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-------------|-------------------|-------------------|-------------------|---------------|---------------|
| General Obligation Bonds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,740 | 0 | 0 | 3,740 | 3,740 |
| Subtotal - GO and UF Appropriations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,740 | 0 | 0 | 3,740 | 3,740 |
| Total Request Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,740 | 0 | 0 | 3,740 | 3,740 |

Funds Already Committed to the Project

| Funds Already Committed (Dollars in Thousands) | Prior Year 2010 | Prior Year 2011 | Prior Year 2012 | Prior Year 2013 | Prior Year 2014 | Prior Year 2015 | Prior Total | Request Year 2016 | Request Year 2018 | Request Year 2020 | Request Total | Project Total |
|------------------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-------------|-------------------|-------------------|-------------------|---------------|---------------|
| City Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 25 | 25 |
| Total Funds Currently Committed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 25 | 25 |

Funds Pending

| Funds Pending (Dollars in Thousands) | Prior Year 2010 | Prior Year 2011 | Prior Year 2012 | Prior Year 2013 | Prior Year 2014 | Prior Year 2015 | Prior Total | Request Year 2016 | Request Year 2018 | Request Year 2020 | Request Total | Project Total |
|--------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-------------|-------------------|-------------------|-------------------|---------------|---------------|
| Other State Funds | | | | | | | | 180 | 0 | 0 | 180 | 180 |
| Other Local Government Funds | | | | | | | | 3,564 | 0 | 0 | 3,564 | 3,564 |
| Total Funds Pending | | | | | | | | 3,744 | 0 | 0 | 3,744 | 3,744 |

| | | | | | | | | | | | | |
|-----------------------------------------------------|---|---|---|---|---|---|---|-------|---|---|-------|-------|
| Total Funding Sources Related to the Request | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7,509 | 0 | 0 | 7,509 | 7,509 |
|-----------------------------------------------------|---|---|---|---|---|---|---|-------|---|---|-------|-------|

| | | | | | | | | | | | | |
|-------------------------|--|--|--|--|--|--|--|-----|----|----|-----|-----|
| Matching Funds % | | | | | | | | 50% | 0% | 0% | 50% | 50% |
|-------------------------|--|--|--|--|--|--|--|-----|----|----|-----|-----|

Comments on Prior Year Funding:

Comments on Funding Request: GO Bond request is for Utilities and engineering costs ONLY. Other costs for streets will be borne by residents in the form of City GO Bonding.

Additional Comments on Sources of Funds: Pending Funds - Other State Funds = Clean Water Partnership(CWP) Award for Bioretention Basin
Pending Funds - Other Local Government - City General Obligation Bonds for Street Improvements related to project.

▼ Project Cost

Cost Detail Form Questions **Yes No**

1. Is this request for a statewide or regional program? Yes No

2. Does the total project cost exceed \$5 million? Yes No

3. Would you like to fill out a detailed project cost form? Yes No

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| Project Cost Level (Dollars in Thousands) | Prior Year 2010 | Prior Year 2011 | Prior Year 2012 | Prior Year 2013 | Prior Year 2014 | Prior Year 2015 | Prior Total | Request Year 2016 | Request Year 2018 | Request Year 2020 | Request Total | Project Total |
|----------------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-------------|-------------------|-------------------|-------------------|---------------|---------------|
| Acquisition of Land, Land Easements, Options | | | | | | | 0 | 50 | | | 50 | 50 |
| Acquisition of Land and Buildings | | | | | | | 0 | | | | 0 | 0 |
| Property Acquisition | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50 | 0 | 0 | 50 | 50 |
| Pre-design Fees | | | | | | | 0 | 25 | | | 25 | 25 |
| Pre-design Fees | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 25 | 25 |
| Schematic design | | | | | | | 0 | | | | 0 | 0 |
| Design Development | | | | | | | 0 | | | | 0 | 0 |
| Contract Documents | | | | | | | 0 | | | | 0 | 0 |
| Construction Administration | | | | | | | 0 | 1,006 | | | 1,006 | 1,006 |
| Other Design Costs | | | | | | | 0 | | | | 0 | 0 |
| Design Fees | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,006 | 0 | 0 | 1,006 | 1,006 |
| State Staff Project Management | | | | | | | 0 | | | | 0 | 0 |
| Non-State Staff Project Management | | | | | | | 0 | | | | 0 | 0 |
| Commissioning | | | | | | | 0 | | | | 0 | 0 |
| Other Project Management Costs | | | | | | | 0 | | | | 0 | 0 |
| Project Management | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Site and Building Preparation | | | | | | | 0 | | | | 0 | 0 |
| Demolition and Decommissioning | | | | | | | 0 | | | | 0 | 0 |
| Construction | | | | | | | 0 | 5,601 | | | 5,601 | 5,601 |
| Infrastructure/Roads/Utilities | | | | | | | 0 | | | | 0 | 0 |
| Hazardous Materials Abatement | | | | | | | 0 | | | | 0 | 0 |
| Testing | | | | | | | 0 | | | | 0 | 0 |
| Construction Contingency | | | | | | | 0 | | | | 0 | 0 |
| Other Construction Costs | | | | | | | 0 | | | | 0 | 0 |
| Construction | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5,601 | 0 | 0 | 5,601 | 5,601 |
| Relocation Expenses | | | | | | | 0 | | | | 0 | 0 |
| Relocation Expenses | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| One Percent for Art | | | | | | | 0 | | | | 0 | 0 |
| One Percent for Art | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Furniture, Fixtures, and Equipment | | | | | | | 0 | | | | 0 | 0 |
| Telecommunications (voice & data) | | | | | | | 0 | | | | 0 | 0 |
| Security Equipment | | | | | | | 0 | | | | 0 | 0 |
| Other Occupancy Costs | | | | | | | 0 | | | | 0 | 0 |
| Occupancy Costs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-Total Project Cost | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6,682 | 0 | 0 | 6,682 | 6,682 |

| | | | | | | | | | | | | |
|-------------------------------------|--|--|--|--|--|--|--|-----|---|---|-----|-----|
| System Calculated Inflation | | | | | | | | 827 | 0 | 0 | 827 | 827 |
| Inflation Costs Included in Request | | | | | | | | 0 | 0 | 0 | 0 | 0 |
| Inflationary Adjustment | | | | | | | | 827 | 0 | 0 | 827 | 827 |

| | | | | | | | | | | | | |
|----------------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| Total Project Costs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7,509 | 0 | 0 | 7,509 | 7,509 |
| Total Funding Sources Related to the Request | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7,509 | 0 | 0 | 7,509 | 7,509 |
| Costs less Funding | 0 |

Comments on Project Costs

| | | | | | | | | | | | | |
|---------------------------------|--|--|--|--|--|--|--|-----|---|---|-----|-----|
| IT Costs | | | | | | | | 0 | 0 | 0 | 0 | 0 |
| Operating Budget Impact (\$) | | | | | | | | 0 | 0 | 0 | 0 | 0 |
| Operating Budget Impact (FTE) | | | | | | | | 0 | 0 | 0 | 0 | 0 |
| System Calculated Contingency | | | | | | | | 334 | 0 | 0 | 334 | 334 |
| Contingency Included in Request | | | | | | | | 0 | 0 | 0 | 0 | 0 |
| Contingency Difference | | | | | | | | 334 | 0 | 0 | 334 | 334 |

Comments on Contingency

▼ Statutory Requirements

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Yes No Unsure NA

Requirements under M.S. 16B (Department of Administration)

- Is this construction or major remodeling as defined in [M.S. 16B.335 subdivision 1](#)?

| | | | |
|-----------------------|----------------------------------|-----------------------|-----------------------|
| <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> |
|-----------------------|----------------------------------|-----------------------|-----------------------|
- Have pre-design requirements been met ([M.S. 16B.335 subdivision 3](#)):

| | | | | |
|--------------------------------------------------------------------------------|-----------------------|-----------------------|-----------------------|----------------------------------|
| Does this request include funding for pre-design? | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| Has the pre-design package been submitted to the Department of Administration? | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| Has the pre-design package been approved by the Department of Administration? | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |

Comments on predesign

3. Will the Sustainable Building Guidelines be met (M.S. 16B.325):
- Will the project design meet the guidelines under subdivision 1?

Comments on the sustainable building guidelines

4. Will the Energy Conservation Guidelines be met:
- Will the project designs meet the guidelines under M.S. 16B.325 subd 2?
- Will the project demonstrate compliance with standards as required under M.S. 16B.335 subd. 4?

Comments on energy conservation guidelines

Requirements under MS 16A (MMB):

1. Will the project meet Public Ownership tests?(M.S. 16A.695, MN Constitution, Article XI, Sec. 5)
2. Will a Use Agreement be required? (M.S. 16A.695 subd 2)
3. Will full program funding be reviewed and ensured? (M.S. 16A.695 subd 5)
4. Will the Match Requirements be met? (M.S. 16A.86)
5. Will the project be fully encumbered prior to the Cancellation Deadline (M.S. 16A.642): December 31, 2020?
6. Will the project be fully funded? (M.S. 16A.502)(M.S. 16B.31, subd. 2)

Comments on public ownership and project funding considerations

Requirements under MS 174.93 (DOT):

1. Is this a Guideway Project as defined in M.S. 174.93, subd 1(a)(2)?
2. Has the required information been included in this request, per M.S. 174.93, subd 1a?

Additional Comments on the Statutory Requirements

▼ Upload Documents

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| File Name | Type | Category | |
|---------------------------------|------|----------|----------------------|
| Northland letter | PDF | Optional | View |
| Northland handout March meeting | PDF | Optional | View |
| 1_Report Body | PDF | Optional | View |
| 2.1_Appendix A | PDF | Optional | View |
| 2.2_Appendix A | PDF | Optional | View |
| 2.3_Appendix A | PDF | Optional | View |
| 2.4_Appendix A | PDF | Optional | View |
| 3_Appendix B | PDF | Optional | View |
| Project Summary Handout | PDF | Optional | View |

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